Sleep Apnea as a Feature of Bulbar Stroke

J.J.M. Askenasy, MD, PhD, and I. Goldhammer, MD

Medullary disorders can be associated with a sleep apnea syndrome. The present patient developed a sleep apnea syndrome with approximately 25 episodes of apnea or hypopnea during each hour of sleep following a lateral medullary infarction. The presence of predisposing factors and involvement of respiratory centers in the area of the medullary lesion may determine the appearance of sleep apnea with brainstem infarction. Investigation of breathing during sleep may be helpful in such cases. (Stroke 1988;19:637–639)

The respiratory neurons are located in the reticular formation of the medulla oblongata. They are closely related to motor activity centers of the upper respiratory tract involving the tongue and pharynx. The larynx ventilation undergoes changes during rapid eye movement (REM) and non-REM sleep as a consequence of changes in the reticular system of the medulla oblongata. The anatomic proximity of the centers controlling breathing, sleep, and upper respiratory tract motor function suggests a close physiologic interrelationship. Sleep in general and REM sleep in particular depress upper respiratory tract motor function, favoring and accentuating respiratory disturbances.

Sleep apnea has been reported in medullary infarction, high cervical cordotomy, syringobulbia, bulbar poliomyelitis, olivopontocerebellar degeneration, near-drowning, neoplasms, hindbrain abnormalities, multiple sclerosis, and trauma (Table I). Of the medulla oblongata disorders, vascular disturbance is the most frequent cause of respiratory sleep disturbance.

Case Report

A 49-year-old man with no history of hypertension or obesity suffered a left Wallenberg's syndrome. The clinical signs consisted of hoarse voice, intractable hiccup, left Horner’s syndrome, mild skew deviation, left soft palate palsy, left vocal cord paralysis, ataxia (left more than right), right dissociated hemianalgesia with no left face hemianalgesia, right Babinski’s sign, and Romberg’s sign. Following the stroke the patient had severe difficulty in swallowing. Routine laboratory examinations were normal. Computed tomography (CT scan) with and without contrast done at the time of admission showed no abnormality. Fine cuts through the posterior fossa could not be obtained because of temporary technical limitations.

During the next 10 days, as his swallowing disturbance improved, very noisy snoring appeared, with abrupt pauses accounting for apnic spells. An ear-nose-throat examination revealed a long uvula and a septum deviation occluding his left nostril. Based on anamnestic data collected from his wife, there was no history of loud snoring or respiratory arrest before the brainstem stroke. Sleep was monitored for two nights following an adaptation night, using a routine polygraphy technique. The routine polygraphy consisted of electroencephalography, electro-oculography, electromyography, breathing monitoring, and closed-circuit television. Breathing monitoring consisted of nasal-oral airflow, rib-abdominal cages, and ear oxymetry. The mean data for two nights showed 150 episodes of apnea and hypopnea per night’s sleep. Hypopnea was defined as apnic episodes lasting 5–10 seconds. Apnea was defined as apnic episodes lasting >10 seconds. The total number of apnic and hypopnic episodes per hour of sleep representing the apnea-hypopnea index was 25.0. The diagnosis of a sleep apnea syndrome is made when there are >30 breath arrests lasting >10 seconds during a night’s sleep. Sleep apnea has been categorized as central, obstructive, or mixed. Central apnea is present when the respiratory center fails to contract the diaphragm.

Obstructive apnea is characterized by the blockage of air flow in the upper airway despite the presence of diaphragm contraction. Of the total 150 episodes of apnea and hypopnea, 99 were obstructive or mixed and 51 were of the central type. Fifty apnic episodes were associated with <85% O2 saturation and 10 with 80% O2 saturation, that is, with a PaO2 of ≤50 mm Hg. At this level of hypoxia, pulmonary vasoconstriction can occur, which may predispose to pulmonary and systemic hypertension and lead to worsening hypoxia. No cardiac changes were found.

The longer apnic episodes occurred during REM sleep, while the patient was sleeping on his back and rarely on his right side, but never while sleeping on his left side. The apnic episodes that did not affect O2 desaturation lightened the level of his sleep. The lightening was marked by very frequent switches from sleep stage 2 and REM stage to sleep stage 1 when compared with a normal routine polysomnogram. Morning tiredness and a need to nap after lunch
Table 1. Sleep Apnea in Medulla Oblongata Disorders; Review of Literature 1951–1986

<table>
<thead>
<tr>
<th>Frequency</th>
<th>Disorders</th>
<th>References</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Bulbar stroke</td>
<td>2, 3, 4, 5</td>
</tr>
<tr>
<td>2</td>
<td>Syringobulbia</td>
<td>10, 11, 12</td>
</tr>
<tr>
<td>3</td>
<td>Bulbar poliomyelitis</td>
<td>13, 14</td>
</tr>
<tr>
<td>4</td>
<td>Olivopontocerebellar degeneration</td>
<td>12, 15</td>
</tr>
<tr>
<td>5</td>
<td>Near-drowning (bulbar ischemia)</td>
<td>16</td>
</tr>
<tr>
<td>6</td>
<td>Bulbar neoplasms</td>
<td>17</td>
</tr>
<tr>
<td>7</td>
<td>Hindbrain abnormalities</td>
<td>18</td>
</tr>
<tr>
<td>8</td>
<td>Multiple sclerosis</td>
<td>19</td>
</tr>
<tr>
<td>9</td>
<td>Medullary trauma</td>
<td>2</td>
</tr>
</tbody>
</table>

Decreasing order of frequency according to number of published observations.

Discussion

The effects of sleep on breathing consist of a reduced respiratory drive in non-REM sleep and complex alterations in REM sleep. Upper airway resistance increases during sleep due to a decrease in upper airway muscle tone. This decrease in respiratory drive was first underlined by Kleitman. The diagnosis of a sleep apnea syndrome is made when there are ≥30 apneic episodes with a duration of ≥10 seconds during a night’s sleep, equal to an apnea index of 5 for 6 hours of sleep. Following a left medullary infarction, the present patient developed a sleep apnea syndrome. His breathing disorder suggested that the behavioral control system was adequate during wakefulness, but during sleep apneas of obstructive, central, and mixed type appeared. Abnormal brainstem evoked potentials in adults with both central and obstructive sleep apnea suggest the involvement of the reticular system in the breathing control of both types.

Patients suffering from medullary diseases associated with sleep apnea are at higher risk for automatic respiratory failure during sleep.

In mammals, three aggregations of rhythmic respiratory neurons in the medulla oblongata were described: the dorsal and ventral respiratory nuclei in the lower medulla and the nucleus parabrachialis medialis in the upper medulla (Figure 1). To generate a normal respiratory pattern the three centers require vestibulocerebellar regulation of the tonus of supraglottic, genioglossal, pharyngolaryngeal, diaphragmatic, and intercostal muscles. Disorders of the vestibulocerebellar system, such as olivopontocerebellar degeneration, may cause sleep apnea due to impaired function of the respiratory muscles.

The proximity of the hypoglossic and somatic upper respiratory airway centers may explain the association of lateral medullary infarction with sleep apnea. The type and severity of the apnea-hypopnea index may depend on the number of injured neurons and axons and on the compensatory function of the contralateral undamaged respiratory centers. This compensatory function may vary between individuals and may play an important role in sleep apnea. Unilateral lesions may provoke sleep apnea in individuals whose compensatory capacity is weak or in whom congenital abnormal control of respiration exists. Bilateral damage of all three respiratory nuclei may result in failure of automatic respiration, termed Ondine’s curse.

Breathing distress can occur after high cervical cordotomy. The role played by the high cervical cord in breathing varies between subjects and may constitute another factor in determining the development of sleep apnea. A long uvula and septal deviation may also have been predisposing factors in our patient.

A good adaptation to obstruction through an open right nostril may explain the absence of sleep apnea when he was sleeping on the already-occluded left nostril and may explain its presence when he was lying on his right side. The presence of the most severe apnea while he was sleeping on his back supports recent studies showing that the supine position favors obstructive sleep apnea.

In conclusion, it is recommended that the possibility of the association of medullary disorders with sleep apnea in patients with predisposing factors and a certain topography of the lesion be taken into consideration. In patients with snoring and respiratory arrests following bulbar stroke, supervising or monitoring breathing during sleep is indicated.

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**KEY WORDS**

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