Time Period Required for Transcranial Doppler Monitoring of Embolic Signals to Predict Recurrent Risk of Embolic Transient Ischemic Attack and Stroke From Arterial Stenosis

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Background and Purpose—We aimed to investigate whether the time period of transcranial Doppler monitoring for embolic signals can be reduced without loss of clinical yield compared with routinely performed 1-hour monitoring.

Methods—Investigations on the basis of a post hoc analysis of a previously published cohort of 86 patients (55 men, 31 women; mean age 60.6 years) with a nondisabling arterioembolic ischemic event in the anterior circulation within the last 30 days (mean 7.3) and an ipsilateral medium-grade or high-grade stenosis of the carotid or middle cerebral artery. Patients underwent 1-hour monitoring for embolic signals and were followed up prospectively for 6 weeks to evaluate the relationship between embolic signals and risk of an early ischemic recurrence. Risk was also calculated after fictitious reduction of the monitoring period from 60 minutes to 50, 40, 30, 20, and 10 minutes, respectively, and compared with the results obtained from the 1-hour period.

Results—The number of patients positive for embolic signals decreased with the decreasing monitoring period. By this, the odds ratio of embolic signals for an early ischemic recurrence “decreased” from 40 (derived from the 1-hour monitoring) to 10 when the monitoring lasted ≤30 minutes. The relationship between the rate of embolic signals per hour and risk of a recurrent stroke is described by an S-shaped curve. As a consequence, risk estimated from reduced monitoring periods can differ considerably from that derived from the 1-hour monitoring if the signal frequency lies within a medium range (eg, between 3 and 15 signals in 30 minutes).

Conclusions—The time period of monitoring for embolic signals may be reduced without loss of clinical relevant information when signal frequency is low or already high during the reduced monitoring period, but it should be prolonged to maximally an hour at signal numbers within a medium range. However, our results need to be externally validated on an independent cohort of patients or confirmed by a prospective study before this modification can be recommended in general. (Stroke. 2004;34:2155-2159.)

Key Words: carotid stenosis ▪ cerebral embolism ▪ stroke, ischemic ▪ ultrasonography, Doppler, transcranial
the ipsilateral carotid (61 patients) or middle cerebral artery (25 patients). Extracranial carotid stenosis was classified as medium grade (≥50% local diameter reduction) at angle-corrected peak systolic velocities >120 cm/s and as high grade (≥80% local diameter reduction) at peak systolic velocities >300 cm/s or end-diastolic velocities >135 cm/s.8 For the diagnosis of middle cerebral artery stenosis, cut-off values of angle-corrected peak systolic velocities were 155 cm/s (≥50%) and respectively.8 Detailed characteristics of patients have been presented previously.1

All patients underwent a standardized admission procedure, including medical history, quantification of the neurological deficit, and functional disability according to the National Institutes of Health Stroke Scale and the modified Rankin Scale, brain-computed tomography/MRI, extracranial and transcranial Doppler, and color-coded duplex sonography, 12 lead ECG, and laboratory examination. One-hour transcranial Doppler monitoring was performed at admission and again 1.8 days after start of an antithrombotic prevention if embolic signals were detected (mean, range 0.2 to 3.9). Patients were followed up prospectively to evaluate the relationship between presence (ie, persistence of embolic signals even under antithrombotic medication) and the risk of recurrent TIA and stroke within the following 6 weeks. End point of follow-up was recurrent ischemia in 7 patients, carotid endarterectomy in 27, change of antithrombotic medication in 10, and censored follow-up in 39. Three patients were lost to follow up. Mean duration of follow-up was 28 days (SD 16.8) for the entire cohort and 18 days (SD 15.3) for the 27 patients who underwent endarterectomy. In the latter patients, embolic signals had been detected in 14, which persisted in 9 also under antithrombotic medication. Although these relationships were similar for the 56 patients who were not operated on later (in whom embolic signals were initially detected in 30 and persisted in 16), the risk of patients waiting for endarterectomy may be even higher than that calculated for the entire cohort because operated patients showed significantly shorter follow-up periods under risk than those with other end points of follow-up.

Periods suspicious of embolic signals at bilateral simultaneous middle cerebral artery monitoring with dual-gated 2-MHz pulsed-wave probes (Multidop X; DWL) were assessed automatically by the software. Signals were registered online and stored on hard disk when the relative intensity increase reached the detection threshold of 12 dB and the calculated propagation distance ranged from 0.5 to 10 mm (true Doppler gate distance 5 mm). Device setting of the initial monitoring was maintained at follow-up recording. Subsequent visual offline review was performed by 2 independent observers blinded for patients and monitoring data. Both observers included only patients with a unidirectional signal within the Doppler spectrum. For these settings, sensitivity for artifact identification had been investigated on ~300 artifacts in control subjects (by coughing, clearing their throats, speaking, snoring, swallowing, jaw movements, and tapping against the probe) and was determined as >98%.9 Sensitivity for detection of an embolic signal had been evaluated by reference digital audio tape within the scope of a between-center comparison and was ~95%. For the purpose of this study, the time period of the 1-hour monitoring that preceded the follow-up period was fictitiously reduced from 60 minutes to 50, 40, 30, 20, and 10 minutes, respectively. Embolic signals that had appeared between the start of the monitoring and the end of a period were counted for that period. Periods with ≥1 signal were considered embolic signal positive. The risk of a recurrent stroke or TIA in relation to the presence or absence of embolic signals was analyzed by Cox regression for each of the 5 fictitious monitoring periods and compared with that calculated from the 1-hour monitoring. Covariates supposed to be associated with recurrent TIA and stroke and included in the analysis of the 1-hour period (ie, age, type of the first event [persistent versus transient], recurrent initial events, time since the initial event, degree and localization [extracranial versus intracranial] of the stenosis) were also included at each of the 5 regression analyses. Previous investigation on these patients had also shown that the number of embolic signals at a 1-hour detection period may better predict patients’ individual risk than dichotomization between presence and absence of embolic signals. Therefore, Cox regression was also performed when the number of embolic signals during the 1-hour monitoring was included instead of signal presence/absence. Covariates matched those of the dichotomized analysis (see above).

The estimated probability \(S(t)\) to be event free up to time \(t\) can be calculated by means of Cox regression analysis according to the equation: \(S(t)=\exp[-H_d(t)\exp(PI)]\), where \(H_d(t)\) is the baseline-integrated hazard at time \(t\) and \(PI\) is prognostic index.10 \(PI\) is calculated from regression coefficients \(b_i\) of included variables \(x_i\) as \(PI=\sum b_i x_i\). Time \(t\) was fixed at 42 days (6 weeks), which corresponded to the follow-up period of our patients and is the maximum interval between diagnosis and endarterectomy of symptomatic carotid stenosis in our routine clinical practice. For the purpose of the present study, 1-hour periods again were fictitiously reduced from 60 minutes to 50, 40, 30, 20, and 10 minutes, respectively. Embolic signals within these periods were counted, and a 1-hour frequency was calculated (eg, by multiplying the frequency of a 20-minute monitoring period by 3). These calculated 1-hour frequencies were inserted in the above-mentioned equation for the event-free function (derived from the 1-hour monitoring), and the corresponding probabilities to be without recurrent ischemic event at time \(t\) (ie, at 6 weeks) were calculated. Because measured and calculated 1-hour frequency may differ in an individual patient, calculated probabilities for the absence of a recurrent event will also differ in this patient. This difference was plotted against the number of embolic signals counted during the reduced monitoring period. Therefore, a single plot shows the deviation in the estimated stroke risk for each patient that occurred as a consequence of the reduced monitoring period in relation to counted embolic signals within this reduced monitoring period. Five of these plots were created, 1 for each reduced period. For statistical analysis, we used SPSS version 10.0, with significance set at \(P<0.05\).

**Results**

The number of patients in whom embolic signals ipsilateral to the symptomatic stenosis could be detected decreased along with the decreasing monitoring period (Table 1). This also applied to the 7 patients with recurrent TIA or stroke during follow-up, of whom 4 were negative for embolic signals at the shortest monitoring time (10 minutes) in contrast to only 1 patient at the 1-hour monitoring. As a consequence, the adjusted odds ratio of the presence of embolic signals (without consideration of their number) for a recurrent ischemic event was ~10 at monitoring periods lasting ≤30 minutes, in contrast to ~40, when the presence or absence of embolic signals was assessed by 1-hour monitoring (Table 1).

Table 2 shows results of Cox regression analysis when signal presence/absence at 1-hour monitoring was replaced by the 1-hour signal frequency. On the basis of the coefficients derived from this Cox regression analysis, the risk of a subsequent embolic ischemic event within 6 weeks (ie, \(1-S(t)\), with \(r=42\) days; see above) was calculated in relation to the number of embolic signals detected at the 1-hour monitoring. Calculation revealed a relationship that is described by an S-shaped curve (Figure 1). An alteration of the signal frequency within a range from 10 to 25 signals per hour was associated with a remarkable risk increase/decrease per signal of ~5%. In contrast, risk was only slightly or not influenced when the frequency of embolic signals altered within the range of <5 or >30 per hour, respectively. When the monitoring period was reduced stepwise and the 1-hour frequency was subsequently calculated (instead of measured), the following calculation of the estimated risk of a subsequent ischemic event (according to the above-mentioned equation)
Our study demonstrates that in patients with recently symptomatic arterial stenosis, the combination of the length of the monitoring period and the number of embolic signals counted within this period has major impact on the reliability of estimated recurrent ischemic risk derived from presence and frequency of embolic signals.

Varying monitoring periods may be responsible primarily for the diverging odds of the presence of embolic signals on an early ischemic recurrence. In patients with recent cerebral ischemia and a corresponding arterial embolic source, Valton et al described a 10× higher risk for an early recurrent ischemic event if embolic signals were detected during a 20-minute transcranial Doppler monitoring. In the cohort of our patients with recently symptomatic carotid or middle cerebral artery stenosis and 1-hour transcranial Doppler monitoring, former evaluation has found an even 40× higher risk of an early ischemic recurrence in the presence of embolic signals when compared with patients without detectable signals. The higher risk in our patients might be explained by the smaller time window since the index event, the noninclusion of patients with arterial lesions at other localizations than carotid or middle cerebral artery, and that we used an alteration of the antithrombotic medication since the last monitoring as an end point of follow-up. However, the present investigation on the same cohort of patients suggests that this difference also might be caused solely by the different monitoring periods. Shortening of the monitoring period from 60 to 20 minutes in our patients resulted in a pseudoreduction of risk in patients positive for embolic signals from 40-fold to 10-fold of that in patients without detectable embolic signals. Although the largest “decline” of risk was between 50 and 40 minutes, conclusions about the relationship between shortening of the monitoring period and “reduction” of risk cannot be drawn reliably on the basis of our data. For that, the number of patients investigated and the number of outcome events were too low, resulting in rather wide CIs. However, it seems obvious that with shorter monitoring periods, a larger number of patients is classified as negative for embolic signals compared with the 1-hour assessment. This might lead to an ignorance of an increased ischemic risk in these patients if the risk is predicted solely on the basis of presence or absence of embolic signals.

### TABLE 1. Effect of the Time Period of Transcranial Doppler Monitoring on the No. of Patients Positive for Embolic Signals, No. of Recurrent Ischemic Events in “Positive” and “Negative” Patients, and Adjusted Odds Ratio for a Recurrent Ischemic Event in the Presence of ESs From Cox Regressions

<table>
<thead>
<tr>
<th>Time Period of TCD Monitoring</th>
<th>ES-Negative Patients</th>
<th>ES-Positive Patients</th>
<th>Recurrent Ischemic Events in ES-Negative Patients</th>
<th>Recurrent Ischemic Events in ES-Positive Patients</th>
<th>OR</th>
<th>95% CI</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>60 minutes</td>
<td>61</td>
<td>25</td>
<td>1</td>
<td>6</td>
<td>37.037</td>
<td>3.534–333.448</td>
<td>0.003</td>
</tr>
<tr>
<td>50 minutes</td>
<td>61</td>
<td>25</td>
<td>1</td>
<td>6</td>
<td>37.037</td>
<td>3.534–333.448</td>
<td>0.003</td>
</tr>
<tr>
<td>40 minutes</td>
<td>63</td>
<td>23</td>
<td>2</td>
<td>5</td>
<td>13.587</td>
<td>2.200–83.905</td>
<td>0.005</td>
</tr>
<tr>
<td>30 minutes</td>
<td>64</td>
<td>22</td>
<td>3</td>
<td>4</td>
<td>10.846</td>
<td>1.779–66.109</td>
<td>0.010</td>
</tr>
<tr>
<td>20 minutes</td>
<td>64</td>
<td>22</td>
<td>3</td>
<td>4</td>
<td>10.846</td>
<td>1.779–66.109</td>
<td>0.010</td>
</tr>
<tr>
<td>10 minutes</td>
<td>70</td>
<td>16</td>
<td>4</td>
<td>3</td>
<td>8.838</td>
<td>1.290–60.534</td>
<td>0.026</td>
</tr>
</tbody>
</table>

These regressions include presence of embolic signals (ESs), age, type of initial event (persistent vs transient), multiple initial events, time since the initial event, and degree and localization (extracranial vs intracranial) of the stenosis. Only the odds ratio (OR) of the presence vs absence of ESs is presented from each of the 6 regression analyses.

### TABLE 2. Interaction Between Risk Factors and Early Recurrent TIA or Stroke in 86 Patients With Recently Symptomatic Arterial Stenosis

<table>
<thead>
<tr>
<th>Risk Factor</th>
<th>b</th>
<th>OR</th>
<th>95% CI</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age (years)</td>
<td>0.010</td>
<td>1.010</td>
<td>0.933–1.094</td>
<td>0.800</td>
</tr>
<tr>
<td>Time since initial ischemic event (days)</td>
<td>−0.200</td>
<td>0.818</td>
<td>0.613–1.093</td>
<td>0.175</td>
</tr>
<tr>
<td>Stroke as initial ischemic event</td>
<td>−1.037</td>
<td>0.354</td>
<td>0.047–2.691</td>
<td>0.316</td>
</tr>
<tr>
<td>Recurrent initial ischemic event</td>
<td>0.134</td>
<td>1.143</td>
<td>0.158–8.254</td>
<td>0.894</td>
</tr>
<tr>
<td>Extracranial (nonintracranial) stenosis</td>
<td>0.697</td>
<td>2.008</td>
<td>0.199–20.300</td>
<td>0.555</td>
</tr>
<tr>
<td>High-grade (nonmedium grade) stenosis</td>
<td>0.503</td>
<td>1.654</td>
<td>0.236–11.580</td>
<td>0.612</td>
</tr>
<tr>
<td>ESs during 1-hour monitoring (number)</td>
<td>0.177</td>
<td>1.194</td>
<td>1.052–1.355</td>
<td>0.006</td>
</tr>
</tbody>
</table>

Regression coefficient (b) and odds ratio (OR) derived from Cox regression analysis.
Recommendation for 1-hour monitoring is derived from long-term monitoring periods that had demonstrated only very few gaps without embolic signals lasting ≥1 hour in patients in whom signals could be detected at all. Nevertheless, depending on a patient’s individual signal frequency and signal variability over time, embolic signals might be detected only after prolonged or repetitive monitoring. In contrast, studies on acute stroke patients suggest that even 1-hour monitoring may neither be tolerated by a substantial number of patients nor be applicable in the setting of acute stroke management. However, with respect to a patient’s ischemic risk, less the presence of embolic signals in general (especially as a single signal), their specific relevance at distinct constellations seems to be clinically important. In our patients with recent embolic TIA or stroke caused by carotid or middle cerebral artery stenosis, detection of a single or even several embolic signals at 1-hour monitoring was not associated with a relevant risk increase for an early ischemic recurrence. Prolonged monitoring for embolic signals may not be necessary in this situation. Moreover, because of the S-shaped relationship between the 1-hour frequency of embolic signals and the predicted risk of a subsequent ischemic event, risk may be estimated without clinically relevant deviation by means of reduced monitoring periods if a single or several embolic signals occur during these periods (eg, ≤2 signals within 20 minutes or ≤3 signals within 30 minutes). This also may hold true for already high numbers of signals at reduced monitoring periods (eg, ≥10 signals within 20 minutes or ≥15 signals within 30 minutes). Stroke risk predicted from embolic signals was already maximal at 30 signals per hour without further increase at increasing signal frequency.

It should be emphasized that our findings must not be transferred to situations other than recently symptomatic arterial stenosis. In patients with asymptomatic stenoses, the rate of embolic signals is much lower, and a relationship between the occurrence of signals and the risk of a subsequent ischemic event has not been evaluated yet. In contrast to symptomatic patients, a considerable number of patients with asymptomatic stenosis may be without an antithrombotic medication during monitoring as well as follow-up. This also holds true for patients with a potential cardiac source of embolism, in whom the variability of embolic signals over time is also considerably high compared with that in patients with an arterial stenosis.
But also in patients with recently symptomatic carotid or middle cerebral artery stenosis, the encouraging results of our study must be interpreted with caution. If tolerance for and applicability of 1-hour transcranial Doppler monitoring for embolic signals is limited, monitoring may be stopped after 20 to 30 minutes without loss of clinically relevant information if no more than $\approx 2$ signals in 20 minutes or 3 signals in 30 minutes have been detected or if their number already exceeds $\approx 10$ signals in 20 minutes or 15 signals in 30 minutes. Otherwise, patients should be encouraged to tolerate ongoing monitoring for a maximum of 1 hour. However, this cannot be recommended in general until our results (assessed by post hoc analysis of data from a previously published cohort of patients) have been externally validated on an independent cohort of patients or confirmed by a larger prospective study.

**Acknowledgments**

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**References**


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