Recanalization of Spontaneous Carotid Artery Dissection

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**Background and Purpose**—We set out to investigate the predictors and time course for recanalization of spontaneous dissection of the cervical internal carotid artery (SICAD).

**Methods**—We prospectively included 249 consecutive patients (mean age, 45±11 years) with 268 SICAD. Ultrasound examinations were performed at presentation, during the first month, and then at 3, 6, and 12 months, and clinical follow-ups after 3, 6, and 12 months.

**Results**—Of 268 SICADs, 20 (7.5%) presented with ≤50% stenosis, 31 (11.6%) with 51% to 80% stenosis, 92 (34.3%) with 81% to 99% stenosis, and 125 (46.6%) with an occlusion. Antithrombotic treatment included anticoagulation in 174 (67%) patients, aspirin in 64 (24%) patients, and aspirin followed by anticoagulation or vice versa in 22 (8%) patients. Follow-up ultrasound showed normal findings in 160 (60%), ≤50% stenosis in 27 (10%), 51% to 80% stenosis in 4 (1%), 81% to 99% stenosis in 26 (10%), and occlusion in 51 (19%) vessels. The rate of complete recanalization was 16% at 1 month, 50% at 3 months, and 60% at 6 and 12 months. Initial occlusion of the dissected vessels reduced the odds of recanalization (OR, 4.0; 95% CI, 2.2–7.3; P<0.001), whereas the occurrence of local symptoms and signs only at presentation were independently associated with complete recanalization (OR, 0.4; 95% CI, 0.2–0.8; P=0.048).

**Conclusions**—These results suggest that recanalization of SICAD occurs mainly within the first 6 months after the onset of symptoms. Initial occlusion reduces the likelihood of complete recanalization, whereas presentation with local symptoms and signs only increases it. (Stroke. 2009;40:499-504.)

**Key Words:** carotid ultrasound ■ dissection ■ outcome ■ recanalization

**Subjects and Methods**

Consecutive patients who presented with SICAD at 2 university-based stroke centers (Zurich and Bern) were prospectively included in a database from October 1992 until October 2005. The diagnosis of SICAD was based on imaging findings (cervical MRI and MRA, digital subtraction angiography, or both). Carotid dissection was considered proven if the cervical internal carotid artery (ICA) showed a string sign, an intimal flap, or an aneurysm at angiography, or a wall hematoma at cervical MRI, or both. Patients with a narrowed or occluded cervical ICA on the initial ultrasound were included. Patients were excluded if they underwent thrombolytic therapy for acute stroke or surgical or endovascular treatments for SICAD. Furthermore, SICAD recurring in a previously dissected carotid artery was not analyzed.

Baseline investigations have been reported before and include neurological and physical examinations, routine blood analysis, 12-lead ECG, ultrasound studies of the cerebral arteries, and cerebral CT, MRI, or both in patients with stroke or TIA.

The following stroke risk factors were assessed: age, sex, hypertension (defined as a history of hypertension [systolic blood pressure >160 mm Hg, diastolic blood pressure >90 mm Hg] until September 2000, and systolic blood pressure >140 mm Hg, diastolic blood pressure >85 mm Hg since October 2000) measured on 2 separate occasions, or antihypertensive treatment, or both), diabetes mellitus (defined by preadmission history or venous plasma glucose concentration of ≥7.0 mmol/L after an overnight fast on at least 2 separate
occasions, or ≥11.1 mmol/L 2 hours after oral ingestion of 75 grams of glucose and on 1 other occasion during the 2-hour test), current cigarette smoking, hypercholesterolemia (defined by preadmission history or total cholesterol concentration >5 mmol/L, low-density lipoprotein cholesterol concentration >3 mmol/L, total cholesterol/high-density lipoprotein ratio >5), increased high-density lipoprotein cholesterol levels (defined by high-density lipoprotein cholesterol concentration <1 mmol/L), coronary artery disease, and migraine.

Patients were categorized according to the presenting signs and symptoms into 5 groups: (1) stroke (ischemic deficit lasting >24 hours); (2) TIA (ischemic deficit lasting <24 hours); (3) amaurosis fugax or retinal infarction; (4) 1 or several of the following local symptoms and signs: headache, neck pain, palsies, or Horner syndrome, or cranial nerve palsies on the side of SICAD; and (5) asymptomatic. Stroke severity on admission was assessed with the National Institute of Health Stroke Scale.13

Transcranial Doppler studies were performed or supervised by an experienced sonographer. Clinical follow-ups were performed after 3, 6, and 12 months. In patients with a stroke, 3-month functional outcome was assessed using the modified Rankin scale.25 The modified Rankin scale scores of 0 to 1 were defined as “favorable,” and modified Rankin scale scores of 2 to 6 were defined as “unfavorable” outcome. In patients with suspicion of stroke, TIA, retinal ischemia, recurrent dissection, and the occurrence of new local symptoms or signs, the diagnostic work-up mentioned was repeated. During 3-month follow-up, 1 patient had a stroke and 8 patients had a TIA.

Neurologists or physicians in neurology training certified for neurosonology, performing the ultrasound examinations, were responsible for the study results. The study was approved by the local ethics committee.

Statistical Analysis

Data are reported in frequency tables. The effects of patient characteristics on recanalization were assessed using the χ² test (for

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**Table 1. Ultrasound Criteria for Diagnosing Stenosis and Occlusion of the Cervical Internal Carotid Artery in Patients With Spontaneous Carotid Dissection**

<table>
<thead>
<tr>
<th>Carotid Stenosis or Occlusion</th>
<th>Criterion</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stenosis ≤50%</td>
<td>Infrastenotic PSV ≥90 cm/sec (women) and &gt;80 cm/sec (men) and PSV quotient intrastenotic ICA/contralateral cervical ICA &gt;1.12</td>
</tr>
<tr>
<td>51%–80%</td>
<td>Infrastenotic PSV ≥120 cm/sec and PSV quotient intrastenotic ICA/ipsilateral CCA &gt;1.5</td>
</tr>
<tr>
<td>81%–99%</td>
<td>At least 2 of the following 3 criteria have to be fulfilled: (1) RI quotient ipsilateral CCA/contralateral CCA &gt;0.15, (2) reversed flow in the ipsilateral ophthalmic artery, (3) cross-flow through the anterior communicating artery</td>
</tr>
</tbody>
</table>

Occlusion

Criteria 1–3 and in almost all cases criterion 4 have to be fulfilled: (1) absence of detectable flow within the former carotid lumen, (2) isoechogenic or hypoechoic, homogeneous, or inhomogeneous material within the former carotid lumen, (3) indirect signs of attributable to preoclusion and postocclusional hemodynamic alterations (see 81%–99%), and (4) detection of a so-called stump signal directly in front of the occlusion.

CCA indicates common carotid artery, contralateral the side opposite to carotid dissection; RI the quotient (peak systolic velocity−peak end-diastolic velocity)/peak systolic velocity.

nosed when ultrasound showed normal findings, and partial recanalization was diagnosed when ultrasound revealed a reduction in the degree of stenosis (from 81%–99% to 51%–80% or from 51%–80% to ≤50%) or a change from occlusion to a stenosis. The time interval (days) from SICAD diagnosis to complete recanalization (Δtrec) was calculated according to the formula: Δtrec = (t1−t0) + (t2−t1), where t0 is the time point of the first ultrasound examination showing normal findings, t1 is the time point of the last ultrasound examination with pathological findings, and t2 is the time point of the ultrasound examination at presentation. All ultrasound studies were performed or supervised by an experienced sonographer.

Choice and duration of antithrombotic treatment was at the discretion of the treating neurologist. No selection criteria toward aspirin or anticoagulation were applied. Before the publication of the InternationalStroke Trial in 1997,24 most SICAD patients were treated with anticoagulation. After the publication of the Interna- tional Stroke Trial results, some neurologists started to treat acute stroke caused by SICAD with aspirin.

Clinical follow-ups were performed after 3, 6, and 12 months. In patients with a stroke, 3-month functional outcome was assessed using the modified Rankin scale.25 The modified Rankin scale scores of 0 to 1 were defined as “favorable,” and modified Rankin scale scores of 2 to 6 were defined as “unfavorable” outcome. In patients with suspicion of stroke, TIA, retinal ischemia, recurrent dissection, and the occurrence of new local symptoms or signs, the diagnostic work-up mentioned was repeated. During 3-month follow-up, 1 patient had a stroke and 8 patients had a TIA.

Neurologists or physicians in neurology training certified for National Institute of Health Stroke Scale and modified Rankin scale assessment performed the baseline and the follow-up clinical examinations. The study was approved by the local ethics committee.
comparison of proportions), Student \( t \) test (for comparison of continuous variables), and Mann–Whitney \( U \) test (for comparison of ordinal variables). A multivariate logistic regression analysis, which included variables that showed statistical difference \( P \leq 0.1 \) on univariate comparison, was performed. The Kaplan-Meier method was used to determine the likelihood of recanalization at 3, 6, and 12 months. \( P \leq 0.05 \) was considered statistically significant.

## Results

### Presenting Findings

We evaluated 321 consecutive patients (189 [59%] men; mean age, 46±10 years) with 354 SICAD. Of the 321 patients with SICAD, 241 (75.1%) presented with symptoms of ischemia from the carotid territory, 79 (24.6%) presented with local symptoms only, and 1 patient (0.3%) had vertigo of ischemia from the carotid territory, 79 (24.6%) presented with symptoms and signs only, and 1 patient (0.3%) had vertigo and the diagnostic work-up detected a SICAD.

Of 321 patients with SICAD, 72 patients with 86 SICAD were not included because they met \( \geq 1 \) exclusion criterion (Table 2). Thus, 249 patients (142 [57%] men; mean age, 45±11 years) with 268 SICAD were included in the study.

The presenting clinical characteristics of 160 SICAD (60%) that recanalized completely and 108 SICAD (40%) that did not recanalize completely during follow-up are shown in Table 3. Clinical presentation with local symptoms and signs was more frequent in the group of SICAD with complete recanalization (\( P = 0.048 \)). The other presenting clinical characteristics did not differ between the 2 groups.

The presenting ultrasound findings were assessed after a median delay of 2 days (range, 0–58 days) after the onset of SICAD symptoms, and are shown in Table 4. Of 268 SICAD, 20 (7.5%) showed ≤50% stenosis, 31 (11.6%) showed 51% to 80% stenosis, 92 (34.3%) showed 81% to 99% stenosis, and 125 (46.6%) showed an occlusion. A ≤50% stenosis at presentation was more frequent in the group of SICAD with complete recanalization at follow-up (\( P = 0.004 \)). On the contrary, 81% to 99% stenoses or total occlusions at presentation were more frequent in the group of SICAD without complete recanalization. The other ultrasound findings did not differ between the 2 groups. Antithrombotic treatment included anticoagulation in 174 (67%) patients, aspirin in 64 (24%) patients, and aspirin followed by anticoagulation or vice versa in 22 (8%) patients.

### Clinical Follow-Up

The functional outcome at 3 months was assessed in 154 (98%) of 157 patients with stroke. A favorable outcome
Table 5. Ultrasound Findings Observed at Presentation and at the Last Follow-Up in 268 Dissected Carotid Arteries Occurring in 249 Patients

<table>
<thead>
<tr>
<th>Ultrasound Findings at Presentation</th>
<th>Ultrasound Findings at Last Follow-Up</th>
</tr>
</thead>
<tbody>
<tr>
<td>Normal, n (%)</td>
<td>Stenosis ≤50%, n (%)</td>
</tr>
<tr>
<td>Stenosis</td>
<td></td>
</tr>
<tr>
<td>≤50% (n=20)</td>
<td>18 (90)</td>
</tr>
<tr>
<td>51%–80% (n=31)</td>
<td>19 (61)</td>
</tr>
<tr>
<td>81%–99% (n=92)</td>
<td>67 (73)</td>
</tr>
<tr>
<td>Total occlusion (n=125)</td>
<td>56 (45)</td>
</tr>
<tr>
<td>All (n=268)</td>
<td>160 (60)</td>
</tr>
</tbody>
</table>

Predictors of Complete Recanalization of SICAD

The probability values for the difference of presenting clinical characteristics between SICAD with and without complete recanalization are shown in Table 3. This univariate analysis showed that SICADs with only local symptoms and signs were associated with complete recanalization (P=0.048), whereas occluded SICADs were less likely to recanalize (P<0.001). There was a trend toward a higher prevalence of complete recanalization in patients treated with anticoagulants, although the association did not reach statistical significance (P=0.064).

Multivariate logistic regression analysis included the variables that showed statistical difference P≤0.1 on univariate comparison, ie, SICAD with stroke (P=0.051), SICAD with TIA (P=0.10), SICAD with local symptoms and signs only (P=0.048), occlusive SICAD (P<0.001), and anticoagulation (P=0.064). SICAD with local symptoms and signs only was independently associated with complete recanalization (OR, 0.4; 95% CI, 0.2–0.8, P=0.014), whereas occlusive SICAD reduced the odds of complete recanalization (OR, 4.0; 95% CI, 2.2–7.3).

Discussion

In this prospective observational study, we found: (1) complete recanalization occurred in 160 (60%) of 268 SICAD; (2) complete recanalization occurred within the first 6 months but not thereafter; and (3) occlusive SICAD was less likely to recanalize, whereas SICAD presenting with local symptoms and signs only was independently associated with recanalization.

The 60% complete recanalization rate of SICAD observed in this investigation is similar to the results of previous smaller ultrasound studies.10,23 Steinke et al10 observed 48 patients with 50 SICAD who were treated with anticoagulation for an average period of 51 days. Complete recanalization was found in 34 (68%) patients. Sturzenegger et al23 followed-up 43 patients with 44 SICAD during a mean interval of 15 months and reported complete recanalization in 25 (63%) of 40 patients. Desfontaines et al26 surveyed 60 patients with SICAD (spontaneous, n=50; traumatic, n=10) who were using anticoagulation in 34 cases for a mean time period of 37.5 months using ultrasound. Complete recanalization of SICAD was observed in 68%. In a catheter angiography study, 23 patients with 23 stenotic and 4 occlusive SICAD were restudied angiographically after a mean delay of 58.5 months (range, 14 to 140).27 Recanalization was
Complete in 13 (48%), partial in 8 (30%), and absent in 6 (22%) vessels. Helical CT was used to assess recanalization in 22 SICAD causing carotid stenosis (n=12) or occlusion (n=10). Complete recanalization was observed in 21 (95%) of 22 vessels (all stenoses, 9 of 10 occlusions). The higher rates of complete recanalization reported in these angiography and CT studies compared to the present study may be attributable to several causes, such as the lower number of included patients, the lower relative proportion of patients with occlusive SICAD in the catheter angiography study, and possibly an underestimation of the degree of carotid stenosis by helical CT.

Complete recanalization of SICAD was observed in the first 6 months after the onset of symptoms but not on later follow-up in our series. These findings are in accordance with the results of previous studies reporting 92% to 100% vessel recanalization occurring within 6 months.

The time course of SICAD recanalization observed in this study supports the current clinical practice of many centers, including our own. Vascular studies are performed 3 and 6 months after the onset of SICAD symptoms, and antithrombotic treatment is administered at least until complete recanalization is observed. Many vascular neurologists administer long-term low-dose oral aspirin in SICAD patients with aneurysm to prevent thromboembolic events. Under the unproven assumption that aspirin is mandatory for stroke prevention in patients with SICAD causing an aneurysm, the absence of follow-up MRA for detecting such an aneurysm that develops during recanalization may be a limitation of this study. The present study does not address the question of whether patients with and without persistent carotid stenosis or occlusion caused by SICAD should undergo antithrombotic treatment for stroke prevention beyond 6 months after symptom onset. An occlusive SICAD was an independent negative predictor of complete recanalization, which occurred in 45% of occlusive dissections in this study. These findings are in accordance with the results of 2 previous ultrasound studies. Sturzenegger observed a complete recanalization in 18 (60%) of 30 occlusive SICAD. Another study assessed recanalization in 38 patients with acute stroke attributable to occlusive forms of SICAD (n=19) and spontaneous vertebral artery dissection (n=19). Of 38 vessels, 16 (42%) recanalized completely within 2 days and 6 weeks after the onset of stroke. The recanalization rate of SICAD alone was not reported.

SICAD causing only local symptoms and signs were independently associated with recanalization in our series. In a previous study, SICADs causing carotid territory ischemia showed a lower prevalence of Horner syndrome and caudal cranial nerve palsies than SICADs causing no ischemic events. Furthermore, SICADs with severe stenosis and occlusions more often caused carotid territory ischemia than SICADs with less severe stenosis or without stenosis. One may speculate that SICAD with carotid territory symptoms is more often an inward expansion of the wall hematoma, which causes more severe stenosis and occlusion and is less likely to recanalize. On the contrary, outward expanding mural hematomas may cause less severe stenoses, occlusions, and ischemia, but more frequent local symptoms and signs only. They are thus associated with higher rates of complete recanalization.

We did not observe any association between hypertension and the recanalization rate, as was reported by Caso et al. However, the hypothesis that hypertension damages the elasticity and the permeability of the arterial wall is intriguing and deserves further research.

Previous case reports and small series suggested that anticoagulation might impair recanalization of SICAD by increasing the extent of the intramural hematoma. In this series there was no indication that anticoagulation had a negative impact on carotid recanalization. On the contrary, there was a trend toward better recanalization on anticoagulants (P=0.064). Interestingly, Engelter et al observed a trend for higher recanalization rates with antplatelets than with anticoagulants. A recent overview about antithrombotic treatment of patients with SICAD has been provided by the CADISP group.

In the present study, complete recanalization did not influence the functional outcome in patients with stroke, which is in keeping with the findings of acute stroke treatment trials.

The present study has some limitations. The choice and duration of antithrombotic therapy was not identical for all patients, but was at the discretion of the treating physician. The reason is that no controlled randomized trial has compared different antithrombotic treatments. Another drawback is the heterogeneity with respect to the timing of initial and follow-up ultrasound investigations. This limitation, however, was not avoidable, because several predictable facts prevented the establishment of a defined timetable for ultrasound studies: It is not possible to determine precisely the time of SICAD onset, particularly when diagnosis is not established by cervical MRI. SICAD patients will be outpatients or inpatients with different durations of hospital stay, depending on clinical presentation and the severity of the stroke. Headache or neck pain, which is the most frequent local symptoms, may show the same location, quality, and intensity compared to previous head or neck pain, and thus prevent the determination of the onset of SICAD symptoms. The time interval from symptom onset to arrival in our hospitals is significantly longer in patients presenting with local symptom and signs compared to patients with ischemia. Finally, the time interval from symptom onset to admission has decreased in patients with stroke and TIA in the past years, which would have urged us to readapt the timing of the ultrasound studies.

In conclusion, when complete recanalization of SICAD was achieved, it occurred within 6 months after the onset of symptoms but not thereafter. In addition, nonocclusive SICAD as well as SICAD causing local symptoms and signs only were independent predictors of recanalization.

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Disclosures

None.

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