Diastolic Carotid Artery Wall Shear Stress Is Associated With Cerebral Infarcts and Periventricular White Matter Lesions

Henri J.M.M. Mutsaerts, MD; Inge H. Palm-Meinders, MD, PhD; Anton J.M. de Craen, PhD; Johan H.C. Reiber, PhD; Gerard J. Blauw, MD, PhD; Mark A. van Buchem, MD, PhD; Jeroen van der Grond, PhD; Frieke M.A. Box, PhD; on behalf of the PROSPER Study Group

Background and Purpose—Low wall shear stress (WSS) is an early marker in the development of vascular lesions. The present study aims to assess the relationship between diastolic and systolic WSS in the internal carotid artery and periventricular (PWML), deep white matter lesions, and cerebral infarcts (CI).

Methods—Early, mid, and late diastolic and peak systolic WSS were derived from shear rate obtained by gradient echo phase contrast magnetic resonance sequences multiplied by individually modeled viscosity. PWML, deep white matter lesions, and CI were derived from proton density (PD), T2, and fluid attenuated inversion recovery (FLAIR) MRI in 329 participants (70–82 years; PROSPER baseline). Analyses were adjusted, if appropriate, for age, gender, intracranial volume, and multiple cardiovascular risk factors.

Results—Mid-diastolic WSS was significantly correlated with the presence of PWML (B=-10.15; P=0.006) and CI (B=-2.06; P=0.044), but not with deep white matter lesions (B=-1.30; P=0.050; adjusted for age, gender, WML, and intracranial volume). After adjustment for cardiovascular risk factors, these correlations weakened but remained significant. Systolic WSS was not correlated with any of the cerebrovascular parameters.

Conclusions—This study is the first to our knowledge to present a cross-sectional correlation between carotid artery WSS and cerebrovascular pathology such as PWML and CI in a large population. Furthermore, it shows that diastolic hemodynamics may be more important than systolic or mean hemodynamics. Future studies exploring vascular hemodynamic damage should focus on diastolic WSS. (Stroke. 2011;42:3497-3501.)

Key Words: carotid artery | cerebral infarct | hemodynamics | wall shear stress | white matter lesions

From a hemodynamic point of view, wall shear stress (WSS) is considered an important factor guarding vascular health. WSS is the frictional force exerted by the circulating blood on the endothelium. This force is the primary stimulus for flow-mediated dilatation and modulates the endothelial expression of all known atheroprotective and atherogenic genes.\(^1\)\(^2\) In regions of low WSS, the vessel wall shifts its production toward vasoconstrictive and inflammatory factors, creating a long-term tendency toward vascular damage such as intimal hyperplasia and eventually atherosclerosis.\(^1\)\(^3\)\(^4\) Consequently, WSS has been shown to be inversely correlated to a wide variety of systemic vascular risk factors such as age, hypertension, body mass index, hyperglycemia, renal failure, smoking, myocardial infarction, and low physical exercise.\(^3\)\(^5\)\(^-\)\(^7\) Interestingly, these risk factors lead to lesions on locations with a disturbed WSS.\(^1\)\(^3\)\(^4\) The underlying interaction is still unclear. Possibly, WSS may play a unique role in the explanation of the effects produced by systemic risk factors. The possible function of WSS in the early prediction of focal and distal susceptibility of vascular pathology is still a matter of debate.\(^1\)\(^4\)

WSS in the carotid bifurcation recently has been studied. The complex and turbulent flow of the carotid bifurcation creates regions of low or even oscillating WSS that are notoriously prone to vascular damage.\(^1\)\(^3\)\(^4\) At present, no studies have been performed exploring potential associations between disturbed carotid artery hemodynamics and cerebrovascular pathological changes occurring more distally. Because carotid artery WSS can be regarded as a marker, representing the systemic vascular hemodynamic condition (analog to intima-media thickness measurements in the carotid artery), it may also represent the overall hemodynamic condition of the cerebral vessels. In addition, focal damage marked by WSS in the carotid artery may have led to cerebrovascular pathology by thromboembolism.
The present study aims to evaluate whether WSS in the internal carotid artery is associated with white matter lesions (WML) and cerebral infarcts (CI), 2 neuroradiological markers that are frequently found on cranial MRI. In addition to mean WSS, we analyzed diastolic and systolic WSS separately.

**Materials and Methods**

**Participants**

Data for this study were drawn from the baseline of the Dutch MRI substudy of the PROspective Study of Pravastatin in the Elderly at Risk (PROSPER). This randomized controlled trial examines the effect of pravastatin on cardiovascular and cerebrovascular events in elderly individuals, aged 70 to 82 years, with vascular disease or at high vascular risk. The inclusion and exclusion criteria of PROSPER have been described in detail elsewhere. At baseline, 329 of the PROSPER participants underwent MRI of both carotid arteries 3 cm cranial to the carotid bifurcation. All participants refrained from smoking for at least 90 minutes before the examination. Measurements from both internal carotid arteries were averaged.

**Wall Shear Stress Computation**

WSS is defined as viscosity multiplied by shear rate (ie, the gradient of blood velocity with respect to the vessel wall). Shear rate values were extracted from flow measurements fit on the previously described 3-dimensional paraboloid method, within semiautomatically delineated vessels, using the in-house developed software package FLOW (Department of Radiology, Leiden University Medical Center, Leiden, the Netherlands). Viscosity and its shear-thinning properties (ie, the decrease of viscosity after an increase of shear rate) were modeled by the Carreau-Yasuda model. Values from the well-tested blood-mimicking KSCN-X solution were individually adjusted for hematocrit with the following equation:

\[
\text{Viscosity} = 2.2 + (22 - 2.2) \times (1 + (0.11 \times \text{shear rate})^{0.644})^{(0.644) \times (\text{hematocrit} - 1)/0.644}
\]

Individual hematocrit values were obtained from 4 mL blood withdrawn from the antecubital vein of sober participants and collected in a 7.2-mg K$_3$EDTA sterile collecting tube using a Sysmex XE-2100 Automated Hematology Analyzer (TOA Medical Electronics).

**Cerebral Pathology**

Quantification of WML volumes was performed by in-house developed automated lesion detection software. For postprocessing, PD, FLAIR, and T2-weighted MRI were transferred to an offline workstation. By combining fuzzy clustering, connectivity rules, and mathematical morphology, WML segmentations were generated automatically. WML were defined as regions being hypointense on both proton density-weighted MRI and T2-weighted MRI. Lesions were divided into periventricular WML (PWML) and deep WML (DWML) based on their connection to the lateral ventricles. To correct for incidental inclusion of cerebrospinal fluid and gray matter, the automatically generated WMH segmentations were edited manually by a trained rater with 20 years of neuroradiological experience (M.A.v.B.). FLAIR scans were used as a reference to rule out other pathogenesis or the entanglement of WMH with Virchow-Robin spaces. Infratentorial lesions (brain stem and cerebellum) were excluded. Infarcts were identified by an experienced neuroradiologist (M.A.v.B.) with 20 years of neuroradiological experience and defined as a parenchymal defect: (1) having the same signal intensity as cerebrospinal fluid on all pulse sequences; (2) surrounded by a tissue rim with increased signal intensity on PD, T2, and FLAIR; (3) with a vascular distribution; and (4) without a mass effect. Hemorrhagic infarcts were excluded based on the presence of hemosiderin in the wall of the parenchymal defect on the susceptibility-weighted scan. All measurements were performed blinded to participant identity, age, and gender.

**Statistical Analysis**

All statistical analyses were performed with the statistical software package SPSS for Windows, release 17.0 (SPSS). Relations between gender and age and WSS and the cerebrovascular parameters were investigated by linear regression analysis. Relations between the WSS clusters and cerebrovascular pathology parameters were investigated by 2 linear regression models. Model 1 only adjusted for gender, age, and total intracranial volume, and model 2 additionally adjusted for the cardiovascular risk factors of alcohol use, systolic and diastolic blood pressure, low-density lipoprotein, high-density lipoprotein, total and total/high-density lipoprotein cholesterol, triglycerides, history of vascular disease, history of hypertension or history of diabetes mellitus, and glucose. The exact measurement methods of these parameters are previously described in the PROSPER study setup.
The level of significance was set at \( P<0.05 \). No violations of the usual regression assumptions were detected.

## Results

Of the enrolled participants, 184 (56%) were men. Age ranged from 70 to 82 years, with a median of 74 years, with 25% and 75% interquartile ranges of 72 and 77 years. These and other characteristics are shown in Table 1. Men had higher WSS values than women in all cardiac phases (from \( B=-0.081, P=0.01 \) for mid-diastolic WSS). Men were on average 1 year older (\( B=0.838; \ P=0.015 \)) and had more CI than women (\( B=-0.482; \ P=0.027 \)). Gender was not correlated with PWML or DWML. Age was correlated with all WSS phases (from \( B=-0.013, P=0.01 \) for peak-systolic WSS to \( B=-0.061, P<0.01 \) for mid-diastolic WSS). Age correlated with PWML (\( B=0.651; \ P<0.01 \)) and DWML (\( B=0.120; \ P<0.01 \)). Age was not correlated with CI. The associations of WSS with PWML, DWML, and CI are shown in, respectively, Tables 2, 3, and 4.

Mean WSS was correlated with total WML volume (\( B=-7.83; \ P=0.025 \)), PWML (\( B=-7.02; \ P=0.022 \)), and CI (\( B=-1.53; \ P=0.031 \)), but not with DWML (\( B=-0.77; \ P=0.165 \); Tables 2 and 3). All WSS clusters were significantly and inversely correlated with PWML, but none was correlated with DWML. The strongest correlation with PWML was shown in mid-diastole (\( B=-10.15; \ P=0.006 \)) and the weakest was shown in peak systole (\( B=-4.03; \ P=0.044 \)). After adjustment for cardiovascular risk factors, only peak systolic WSS lost its significance. The highest correlation with DWML was found in mid-diastole, which was borderline significant (\( B=-1.30; \ P=0.050 \)). All WSS clusters except for peak systolic WSS were associated with CI (Table 4). Mid-diastolic WSS showed the highest correlation (\( B=-2.06; \ P=0.015 \)), where peak systolic WSS showed the weakest correlation (\( B=-0.79; \ P=0.089 \)). After adjustment for cardiovascular risk factors, this correlation of both mean and early diastolic WSS lost significance.

## Discussion

The most important findings of this study are that WSS in the internal carotid artery is inversely correlated with PWML and CI, but not with DWML. Overall, diastolic WSS showed more significant correlations than systolic WSS.

The association between carotid and cerebrovascular pathology has been elaborately studied.\(^6\)\(^5\) However, only a limited number of studies investigated the influence of WSS on cerebrovascular pathology. One study reported an association between unilateral ischemic stroke and lower mean WSS in the ipsilateral common carotid artery.\(^6\) Likewise, low internal carotid and basilar artery WSS have been related to mild cognitive impairment and Alzheimer disease.\(^17\) Intracranially, both lower and higher intracranial WSS were correlated with intracranial stenoses and aneurysm growth and rupture.\(^18\) Apart from associations with focal and distal vascular damage, WSS was previously associated with a variety of systemic vascular risk factors.\(^1\)\(^3\)\(^-\)\(^7\) The associations described in this study could have their origin in a direct effect that carotid artery hemodynamics have on the incidence of cerebrovascular pathology, such as artery-to-artery microemboli. Still, a relationship of disrupted cerebrovascular hemodynamics that develops in an equal trend when

| Table 2. Cross-Sectional Associations of Early, Mid, and Late Diastolic and Peak Systolic Wall Shear Stress With Periventricular White Matter Lesions |

<table>
<thead>
<tr>
<th>Periventricular White Matter Lesion</th>
<th>Volume (mL)</th>
<th>Model 1</th>
<th>Model 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean WSS (Pa)</td>
<td>-7.02</td>
<td>-13.15</td>
<td>0.022*</td>
</tr>
<tr>
<td>Early diastolic WSS (Pa)</td>
<td>-7.39</td>
<td>-13.90</td>
<td>0.026*</td>
</tr>
<tr>
<td>Mid-diastolic WSS (Pa)</td>
<td>-10.15</td>
<td>-17.41</td>
<td>0.006†</td>
</tr>
<tr>
<td>Late diastolic WSS (Pa)</td>
<td>-8.63</td>
<td>-16.27</td>
<td>0.027*</td>
</tr>
<tr>
<td>Peak systolic WSS (Pa)</td>
<td>-4.03</td>
<td>-7.94</td>
<td>0.044*</td>
</tr>
</tbody>
</table>

Associations were assessed by linear regression models. Model 1 adjusted for age, gender, and total intracranial volume. Model 2 also adjusted for cardiovascular risk factors.

Each estimate presents the cross-sectional association of WSS with white matter lesions. WSS represents carotid artery wall shear stress. B is a regression coefficient. CI represents lower and upper 95% confidence intervals of B.

CI indicates confidence interval; WSS, wall shear stress.

\(^*P<0.05.\)

\(^†P<0.01.\)
exposed to identical systemic risk factors cannot be excluded. Moreover, any causal correlation between carotid hemodynamics and cerebrovascular pathology could be confounded by similar worsening hemodynamics in the rotid hemodynamics and cerebrovascular pathology could be plaques in the carotid artery, and atrial fibrillation. An association with total cerebral blood flow, intima-media thickness, similar distinction as PWML, but not DWML, were associ-
ated. Moreover, any causal correlation between ca-
rotid artery WSS may predict atherosclerotic pathology that di-

cious volume. Model 2 also adjusted for cardiovascular risk factors.

Each estimate presents the cross-sectional association of WSS with white matter lesions. WSS represents carotid artery wall shear stress. B is a regression coefficient. CI represents lower and upper 95% confidence intervals of B.

CI indicates confidence interval; WSS, wall shear stress.

A major strength of this study is its individually modeled viscosity values as opposed to a fixed value used by other studies. Another major strength of the methods used in this study are the 4-dimensional (3-dimensional+time) MR measurements of WSS requiring minimal manual interference. The dynamics of WSS in 1 cardiac cycle are illustrated in the Figure. Unfortunately, whereas MR has a high spatial resolution for WSS computations, it has a low temporal resolution compared to duplex ultrasonography. Moreover, by retrospectively averaging the heart rate, temporal variations in and between individuals, such as differences in vessel lengths and vessel compliances, are not taken into account. As a consequence, distinct WSS values of cardiac phases fade as they mix with neighboring phases. This disadvantage may especially burden systolic WSS because it experiences the fastest dynamic shear stress changes. Interestingly, mid-diastolic WSS experiences the slowest dynamic changes in WSS and showed the highest correlations. This may partly explain the absence of correlations found in systole as compared to diastole. Likewise, other studies have found most or best correlations with diastolic WSS. Maybe diastolic WSS is a more sensitive parameter for detecting vascular patho-

Table 3. Cross-Sectional Associations of Early, Mid, and Late Diastolic and Peak Systolic Wall Shear Stress With Deep White Matter Lesions

<table>
<thead>
<tr>
<th>Deep White Matter Lesion Model 1</th>
<th>Model 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean WSS (Pa)</td>
<td>B</td>
</tr>
<tr>
<td>Early diastolic WSS (Pa)</td>
<td>-0.77</td>
</tr>
<tr>
<td>Mid-diastolic WSS (Pa)</td>
<td>-0.92</td>
</tr>
<tr>
<td>Late diastolic WSS (Pa)</td>
<td>-1.30</td>
</tr>
<tr>
<td>Peak systolic WSS (Pa)</td>
<td>-1.19</td>
</tr>
</tbody>
</table>

Associations were assessed by linear regression models. Model 1 adjusted for age, gender, and total intracranial volume. Model 2 also adjusted for cardiovascular risk factors.

Each estimate presents the cross-sectional association of WSS with Cl. WSS represents carotid artery wall shear stress. B is a regression coefficient. CI represents 95% confidence intervals of B.

CI indicates confidence interval; WSS, wall shear stress.

Table 4. Cross-Sectional Associations of Early, Mid, and Late Diastolic and Peak Systolic Wall Shear Stress With Confidence Intervals

<table>
<thead>
<tr>
<th>Deep White Matter Lesion Model 1</th>
<th>Model 2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean WSS (Pa)</td>
<td>B</td>
</tr>
<tr>
<td>Early diastolic WSS (Pa)</td>
<td>-1.53</td>
</tr>
<tr>
<td>Mid-diastolic WSS (Pa)</td>
<td>-1.50</td>
</tr>
<tr>
<td>Late diastolic WSS (Pa)</td>
<td>-2.06</td>
</tr>
<tr>
<td>Peak systolic WSS (Pa)</td>
<td>-2.00</td>
</tr>
</tbody>
</table>

Associations were assessed by linear regression models. Model 1 adjusted for age, gender, and total intracranial volume. Model 2 also adjusted for cardiovascular risk factors.

Each estimate presents the cross-sectional association of WSS with Cl. WSS represents carotid artery wall shear stress. B is a regression coefficient. CI represents 95% confidence intervals of B.

Cl indicates confidence interval; WSS, wall shear stress.

*P<0.05.
ogy. Another possible explanation, previously hypothesized by Irace et al., is a WSS threshold value. We also hypothesized the existence of a threshold level of WSS, below which vascular pathology only occurs. This would explain why a decrease of peak systolic WSS does not, but diastolic WSS does, increase vulnerability.

Conclusions
This study is the first to our knowledge to present a cross-sectional correlation between carotid artery WSS and cerebrovascular pathology such as periventricular WML and CI in a large population. It shows that diastolic hemodynamics may be more important than systolic or mean hemodynamics. Disturbed WSS may present early atherogenesis and may predict possible damage in an earlier stage than current markers for large vessel disease. The results of the present study should encourage new longitudinal WSS studies to test the clinical predictive value of WSS measurements in relation to current standards.

Appendix
In the present study, only subjects were included who were participants from the nested MRI substudy of the PROspective Study of Pravastatin in the Elderly at Risk (PROSPER). The PROSPER Study Group consists of: (Glasgow) J. Shepherd (chairman and principal investigator), S.M. Cobbe, I. Ford, A. Gaw, P.W. Macfarlane, C.J. Packard, and D.J. Stott; (Leiden) G.J. Blauw (principal investigator), E.L.E.M. Bolle, A.M. Kamper, and R.G.J. Westendorp; (Cork) M.B. Murphy (principal investigator), B.M. Buckely, M. Hyland, and I.J. Perry.

Acknowledgments
The authors are grateful to Jan Schoones (Information Specialist, Walaeus Library, Leiden University Medical Center) for aiding with literature searches.

Sources of Funding
This work was financed by the Dutch Heart Foundation Grant 2000:119. The PROspective Study of Pravastatin in the Elderly at Risk (PROSPER) study was sponsored by Bristol Myers-Squibb, Princeton, NJ, who had no role in the study design, data collection, analyses or interpretation.

Disclosures
None.

References
Diastolic Carotid Artery Wall Shear Stress Is Associated With Cerebral Infarcts and Periventricular White Matter Lesions
Henri J.M.M. Mutsaerts, Inge H. Palm-Meinders, Anton J.M. de Craen, Johan H.C. Reiber, Gerard J. Blauw, Mark A. van Buchem, Jeroen van der Grond and Frieke M.A. Box
on behalf of the PROSPER Study Group

Stroke. 2011;42:3497-3501; originally published online September 8, 2011;
doi: 10.1161/STROKEAHA.111.614453

The online version of this article, along with updated information and services, is located on the
World Wide Web at:
http://stroke.ahajournals.org/content/42/12/3497

Permissions: Requests for permissions to reproduce figures, tables, or portions of articles originally published in Stroke can be obtained via RightsLink, a service of the Copyright Clearance Center, not the Editorial Office. Once the online version of the published article for which permission is being requested is located, click Request Permissions in the middle column of the Web page under Services. Further information about this process is available in the Permissions and Rights Question and Answer document.

Reprints: Information about reprints can be found online at:
http://www.lww.com/reprints

Subscriptions: Information about subscribing to Stroke is online at:
http://stroke.ahajournals.org/subscriptions/